



HAUCK
AUFHÄUSER
FUND SERVICES

Voting policy

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VOTING POLICY PRINCIPLES FOR HAUCK & AUFHÄUSER FUND SERVICES S.A. MUTUAL FUNDS

Hauck & Aufhäuser Fund Services S.A. is a specialist and experienced partner in the design and administration of individual and complex investment funds and investment companies. Our unique selling point is an independent, complete and high-quality range of services. Hauck & Aufhäuser Fund Services S.A. exercises the shareholder and creditor rights associated with investments of managed mutual funds independently and exclusively in the interests of the investors of the respective investment fund/investment company.

In the principles set out here, Hauck & Aufhäuser Fund Services S.A. sets out its principles of action, based on which it exercises shareholders' voting rights on a trustee basis.

- The sole basis for every decision is the investor's interest in the respective investment fund/ investment company.
- Decisions regarding the exercising of voting rights are made independently of any third-party interests.
- In any case, market integrity is respected.

To protect the interests of all investors and to meet the associated responsibility, Hauck & Aufhäuser Fund Services S.A. exercises the shareholder and creditor rights associated with the managed investment fund/ investment company assets in German-speaking countries in the interests of investors in justified individual cases. This is usually in the form of a personal appearance by a Hauck & Aufhäuser Fund Services S.A. representative at a scheduled general meeting or an extraordinary meeting of creditors for the invested investment fund/investment company. For assets outside German-speaking countries, voting rights are only exercised in cases where this seems to be justified for the investor, particularly with regard to any high, cost-intensive effort involved.