

Top 5 Broker Reporting according to MIFID II/RTS 28 Year 2019



HAUCK & AUFHÄUSER

PRIVATBANK SEIT 1796

| Class of Instrument | Equities | | | | |
|---|--|--|------------------------------|---------------------------------|-------------------------------|
| Notification if <1 average trade per business day in the previous year | No | | | | |
| Top five execution venues ranked in terms of trading volumes (descending order) | Proportion of volume traded as a percentage of total in that class | Proportion of orders executed as percentage of total in that class | Percentage of passive orders | Percentage of aggressive orders | Percentage of directed orders |
| Goldmann Sachs | 89,75% | 51,73% | n/a | n/a | n/a |
| Liquinet Europa LTD | 9,65% | 2,13% | n/a | n/a | n/a |
| Instinet Europa LTD | 0,60% | 0,51% | n/a | n/a | n/a |